



### **W. Gerald “Jerry” Warrington, Jr.**

- W. Gerald (Jerry) Warrington, Jr. was born in 1965. He holds a Bachelor of Arts Degree (BA) from the University of South Alabama. Jerry is co-principle at 3rd Street Capital Management. Jerry began his career in the financial industry working for American Express Financial Advisors in Mobile, AL in 1994. He has also worked as a broker for Waddell & Reed and Charles Schwab. He has also served as a Branch Manager for Colonial bank where he served as a brokerage securities specialist and loan officer. Before starting 3rd Street Capital Management Jerry worked for Commonwealth Advisors for eight years as Chief Operating Officer (COO) and Chief Compliance Officer (CCO). Jerry's area of responsibilities include providing investment advice, customer service and working in areas of compliance.



### **Noel R. Caldwell**

- Noel R. Caldwell was born in 1966. She holds a bachelor of Arts degree (BA) from Louisiana State University (LSU). Noel is co-principle of 3rd Street Capital Management. Noel began her financial career in 1989 working for the Trust Department of Bank One Investment Management and Trust Group for eight years. Prior to starting 3rd Street Capital Management she worked for Commonwealth Advisors, Inc. as a Senior Executive Officer and managed the operations and trading processes for the firm for more than fifteen years. Noel's area of responsibilities include managing all operations and trading functions and performing client servicing for 3rd Street Capital Management LLC.